



## UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shunpei Yamazaki et al. Art Unit : 2826  
Serial No. : 10/753,524 Examiner : Johannes P. Mondt  
Filed : January 9, 2004  
Title : SEMICONDUCTOR DEVICE AND METHOD OF MANUFACTURING THE SAME

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

Submitted herewith is an English translation of the following foreign language references, or portions thereof:

Desig. ID	Source
AL	Japan 07-074366 – English Abstract: DIALOG(R) File 347: JAPIO (2005); Accession No. 04781766 and family to U.S. Patent No. 5,481,121 (Desig. ID “AA”) and U.S. Patent No. 5,824,573 (Desig. ID “AB”).

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. I, the undersigned, hereby certify that reference “AL” was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated November 8, 2005, which is not more than three months prior to the filing of this statement, and was not first cited in any communication from a foreign patent office in a counterpart application which was more than three months prior to the filing date of this statement. The other references are English-language documents corresponding to reference “AL”, and are cited in accordance with MPEP 609(III)(B)(5).

Applicant : Shunpei Yamazaki et al.  
Serial No. : 10/753,524  
Filed : January 9, 2004  
Page : 2 of 2

Attorney's Docket No.: 07977-  
218003 / US3531/3615D1D1

Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 12/20/05

**Customer No. 26171**  
Fish & Richardson P.C.  
1425 K Street, N.W. - 11th Floor  
Washington, DC 20005-3500  
Telephone: (202) 783-5070  
Facsimile: (202) 783-2331  
40316271.doc

  
John F. Hayden  
Reg. No. 37,640



Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 07977-218003	Application No. 10/753,524
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Shunpei Yamazaki et al.			
				Filing Date January 9, 2004	Group Art Unit 2826

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,481,121	01/02/1996	ZHANG et al.			05/25/1994
	AB	5,824,573	10/20/1998	ZHANG et al.			06/05/1995
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Sub-class	Translation
							Yes
	AL	JP07-074366	17 MAR 1995	JAPAN			Abstract
	AM						
	AN						
	AO						
	AP						

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	